

MiFID II Article 65.6 (COBS 11 Annex1EU) Report - Retail Clients

2017

Class of Instrument

Equities

Notification if < 1 average trade per business day in the previous year

N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume trades as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of direct orders
City & Continental Ltd (2138005IBYJKUZMN564)	51%	6%	N/A	N/A	N/A
Credit Suisse Group AG (549300506SI9CRFV9Z86)	48%	91%	N/A	N/A	N/A
Credit Suisse (UK) Limited (549300GUAMON5OX2O054)	1%	3%	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A

Quality of Execution

Trade execution is based on achieving the best overall cost for the client including factors of price, commission and associated fees

No close links or conflicts of interest have been a factor in the selection of trading venues

No non-monetary benefits, special arrangements or rebates have been a factor in the selection of trading venues

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2017

Class of Instrument

Debt Instruments

Notification if < 1 average trade per business day in the previous year

N

Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume trades as a percentage of total in that class	Proportion of orders executed as a percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of direct orders
City & Continental Ltd (2138005IBYJKUZMN564)	53%	26%	N/A	N/A	N/A
Credit Suisse Group AG (549300506SI9CRFV9Z86)	47%	74%	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A

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