

MiFID II Article 65.6 (COBS 11 Annex1EU) Report - Retail Clients **2018**

| Class of Instrument | | | Equities | | | |
|--|---|---|-------------------------------------|--|------------------------------------|--|
| Notification if < 1 average trade per business day in the previous year | | | N | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume trades as a percentage of total in that class | Proportion of orders executed as a percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of direct orders | |
| Credit Suisse Group AG (549300506SI9CRFV9Z86) | 60% | 89% | N/A | N/A | N/A | |
| City & Continental Ltd (2138005IBYJDKUZMN564) | 36% | 7% | N/A | N/A | N/A | |
| Credit Suisse (UK) Limited (549300GUAMON5OX2O054) | 4% | 4% | N/A | N/A | N/A | |
| N/A | N/A | N/A | N/A | N/A | N/A | |
| N/A | N/A | N/A | N/A | N/A | N/A | |

Quality of Execution

Trade execution is based on achieving the best overall cost for the client including factors of price, commission and associated fees

No close links or conflicts of interest have been a factor in the selection of trading venues

No non-monetary benefits, special arrangements or rebates have been a factor in the selection of trading venues

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| Class of Instrument | | | Debt Instruments | | | |
|--|---|---|-------------------------------------|--|------------------------------------|--|
| Notification if < 1 average trade per business day in the previous year | | | N | | | |
| Top five execution venues ranked in terms of trading volumes (descending order) | Proportion of volume trades as a percentage of total in that class | Proportion of orders executed as a percentage of total in that class | Percentage of passive orders | Percentage of aggressive orders | Percentage of direct orders | |
| Credit Suisse Group AG (549300506SI9CRFV9Z86) | 52% | 90% | N/A | N/A | N/A | |
| City & Continental Ltd (2138005IBYJDKUZMN564) | 48% | 10% | N/A | N/A | N/A | |
| N/A | N/A | N/A | N/A | N/A | N/A | |
| N/A | N/A | N/A | N/A | N/A | N/A | |
| N/A | N/A | N/A | N/A | N/A | N/A | |

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